

EXPRESS MAIL NO. EV 657810673 US

PATENT APPLICATION

Docket No: 16169.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
		)	
	Saito, et al.	)	
		)	
Serial No.:	10/532,564	)	Art Unit
		)	3723
Confirmation No.:	6718	)	
		)	
Filed:	April 22, 2005	)	
		)	
For:	GLASS SUBSTRATE FOR INFORMATION	)	
	RECORDING MEDIUM AND METHOD FOR	)	
	MANUFACTURING SAME.	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 197(b) or 1.97(c))

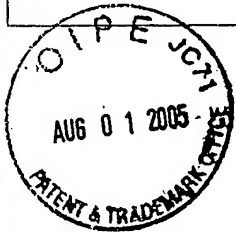
 Docket No.  
 16169.4 #3

In Re Application Of:

Saito, et.al.

10/532564

Application No.	Filing Date	Examiner	Customer No	Group Art Unit	Confirmation No.
10/532,564	04/22/2005	Not Yet Assigned	022913	3723	6718

**Title:**  
 GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME.

 Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**
**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);
**OR**
☐ the fee set forth in 37 CFR 1.17(p).

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98 a copy of the listed Non U.S. Patent references or relevant portion thereof is also enclosed.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

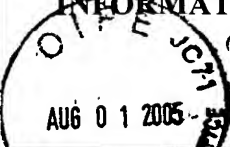
DATED July 31, 2005.

Respectfully submitted,



R. Burns Israelsen  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

RBI: lao

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket number (Optional) <b>16169.4</b>	Application Number <b>10/532,564</b>
	Applicant(s) <b>Saito, et al.</b>	
	Filing Date <b>04/22/2005</b>	Group Art Unit <b>3723</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,491,572	12/10/2002	Horie, et al.	451	63	10/10/2000

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2002/0127432	09/12/2002	Saito, et al.	428	694	12/17/2001

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2002/251716	06/09/2002	JP				
		2002/133649	05/10/2002	JP				
		2001/341058	12/11/2001	JP				
		2001/294447	10/23/2001	JP				
		5/342532	12/24/1993	JP				
		2002/150547	05/24/2002	JP				

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[illegible]

DATE CONSIDERED

Patent and Trademark Office \* U.S. Department of Commerce  
SHEET 2 of 2

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Docket No.  
16169.4

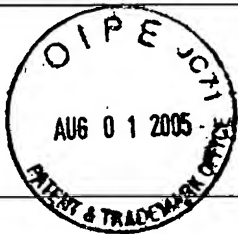
Applicant(s): Saito, et al.

Application No.  
10/532,564Filing Date  
04/22/2005Examiner  
Not Yet AssignedCustomer No  
022913Group Art Unit  
6718**Invention:**

GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME.

I hereby certify that the following correspondence:

(see below)



(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

8/1/05  
(Date)Liz Maese

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in dark ink, appearing to read "Liz Maese".

(Signature of Person Mailing Correspondence)

EV 657810673 US

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